

# ON THE HOMOGENIZATION OF CONTROL SYSTEM WITH NON-REGULAR CONSTRAINTS

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This paper is devoted to the homogenization problem of a control objects all components of mathematical description of which may depend on some small parameter  $\varepsilon$ . It is assumed that the control object is described by a linear elliptic equation subject to control constraints. As it is well known there is a huge amount of literature on various aspects and methods in homogenization of partial differential equations and operator equations in Banach spaces (see, e.g., [1-7]). While only few papers deal with the homogenization of control systems. That's why the aim of this paper is to study the passing to the limit in such objects as  $\varepsilon \rightarrow 0$ . We will try to find out what happens to the control object as  $\varepsilon \rightarrow 0$ , does there exist a limit, and, if so, can it be determined? In order to do it we note that each of the control system can be characterized by its own set of admissible pairs "control-state". Therefore we will study the homogenization problem as identification of the (Painleve-Kuratowski) topological limit [8] of the collection of sets of admissible pairs.

Let  $\Omega$  be a bounded open set of  $R^n$  with Lipschitz boundary. We define the control object as follows

$$-\operatorname{div}(A_\varepsilon \nabla y) = b_\varepsilon u + f_\varepsilon \quad \text{in } \Omega, \quad (1)$$

$$y = 0 \quad \text{on } \partial\Omega, \quad u \in U_\varepsilon. \quad (2)$$

Let us denote by  $w_{H_0^1}$  the weak topology of  $H_0^1(\Omega)$ ,  $w_{L^2}$  the weak topology of  $L^2(\Omega)$ ,  $s_{H^{-1}}$  the strong topology of  $H^{-1}(\Omega)$ , and let us begin with the following assumptions:

- (1)  $\{U_\varepsilon\}_{\varepsilon \in (0, \varepsilon_0]}$  is a family of weakly closed convex subsets of  $L^2(\Omega)$  such that there exists a non-empty topological limit  $(w_{L^2})\text{-}\operatorname{Lm} U_\varepsilon$  in the Kuratowski's sense;
- (2) the sequence  $\{f_\varepsilon \in H^{-1}(\Omega)\}_{\varepsilon \in (0, \varepsilon_0]}$  is compact with respect to the weak topology of  $H^{-1}(\Omega)$ ;
- (3) the sequence  $\{b_\varepsilon \in L^\infty(\Omega)\}_{\varepsilon \in (0, \varepsilon_0]}$  is compact with respect to the strong topology of  $L^\infty(\Omega)$ ;
- (4)  $A_\varepsilon \in [L^\infty(\Omega)]^{n^2}$  for every  $\varepsilon \in (0, \varepsilon_0]$ , and there are two positive constants  $0 < \beta_0 \leq \beta_1$  satisfying  $\beta_0 |\xi|^2 \leq (\xi, A_\varepsilon \xi)_{R^n} \leq \beta_1 |\xi|^2$ , a.e. in  $\Omega$  for any  $\xi \in R^n$  and  $\varepsilon \in (0, \varepsilon_0]$ ;

(5) boundary problem (1)–(2) is the uniformly regular, i.e. for every  $\varepsilon$

$$\Xi_\varepsilon = \left\{ (u, y) \in L^2(\Omega) \times H_0^1(\Omega) \left| \begin{array}{l} -\operatorname{div} (A_\varepsilon \nabla y) = b_\varepsilon u + f_\varepsilon, \text{ in } \Omega, \\ y = 0 \text{ on } \partial\Omega, \\ u \in U_\varepsilon, \end{array} \right. \right\} \neq \emptyset.$$

It is well known that under above conditions there exists unique solution  $y_\varepsilon \in H_0^1(\Omega)$  of original system (1) for every admissible control  $u \in U_\varepsilon \subset L^2(\Omega)$ . Our aim is to establish the sufficient conditions under which the topological limit of the sets  $\{\Xi_\varepsilon\}$  in the  $\mu = w_{L^2} \times w_{H_0^1}$ -topology for the product space  $L^2(\Omega) \times H_0^1(\Omega)$  can be recovered. In order to do it we will use the following result.

LEMMA 1. A set  $E$  is the topological limit of the sequence

$$\{E_\varepsilon\}_{\varepsilon \in (0, \varepsilon_0]} \subset X$$

in some topology  $\tau$  if and only if the following conditions are satisfied:

- (i) for every  $x \in E$  there exist an index set  $H \in \mathbf{H}$  and a sequence  $\{x_\varepsilon\}_{\varepsilon \in H}$  converging to  $x$  in  $X$  such that  $x_\varepsilon \in E_\varepsilon$  for every  $\varepsilon \in H$ ;
- (ii) if  $H$  is any index set of  $\mathbf{H}^\sharp$ ,  $\{x_\varepsilon\}_{\varepsilon \in H}$  is a sequence converging to  $x$  in  $X$  such that  $x_\varepsilon \in E_\varepsilon$  for every  $\varepsilon \in H$ , then  $x \in E$ .

Here  $\mathbf{H}$  is a filter on  $(0, \varepsilon_0]$ , and  $\mathbf{H}^\sharp$  is the grill associated with the filter  $\mathbf{H}$ , i.e., the family of subsets of  $(0, \varepsilon_0]$  that meet all sets  $H$  in  $\mathbf{H}$ .

Let us consider the sequences of operators  $\{A_\varepsilon\}_{\varepsilon \in (0, \varepsilon_0]}$  and  $\{B_\varepsilon\}_{\varepsilon \in (0, \varepsilon_0]}$  such that:

- (a)  $\langle B_\varepsilon u, \varphi \rangle_{H_0^1(\Omega)} = \int_\Omega b_\varepsilon u \varphi dx$ ,  $\forall \varphi \in H_0^1(\Omega)$ , i.e.  $B_\varepsilon$  are linear continuous operators from  $L^2(\Omega)$  to  $H^{-1}(\Omega)$  for every  $\varepsilon \in (0, \varepsilon_0]$ ;
- (b)  $\langle A_\varepsilon y, \varphi \rangle_{H_0^1(\Omega)} = \int_\Omega (\nabla \varphi, A_\varepsilon \nabla y)_{R^n} dx$ ,  $\forall y, \varphi \in H_0^1(\Omega)$ .

Then original control system (1)–(2) can be rewritten in the form

$$A_\varepsilon y = B_\varepsilon u + f_\varepsilon \quad \text{in } D'(\Omega), \quad u \in U_\varepsilon. \quad (3)$$

DEFINITION 1. We say that a collection of control constraints  $\{U_\varepsilon\}$  is the non-regular if  $(s_{H^{-1}}) - \operatorname{Ls} Q_\varepsilon = \emptyset$ , where by  $Q_\varepsilon$  denote the images of the sets  $U_\varepsilon$  in  $H^{-1}(\Omega)$  by the maps  $F_\varepsilon : L^2(\Omega) \rightarrow H^{-1}(\Omega)$ . Here  $F_\varepsilon u = B_\varepsilon u + f_\varepsilon$ .

By  $\Lambda_\varepsilon \subset L^2(\Omega) \times H^{-1}(\Omega) \times H_0^1(\Omega)$  we denote the set of all admissible triplet for the problem (3), i.e.

$$\Lambda_\varepsilon = \left\{ (u, g, y) \in L^2(\Omega) \times H^{-1}(\Omega) \times H_0^1(\Omega) \left| \begin{array}{l} (g, y) \in \operatorname{gr}(A_\varepsilon)|_{Q_\varepsilon \times H_0^1}, \\ g = B_\varepsilon u + f_\varepsilon, \\ u \in U_\varepsilon, \end{array} \right. \right\} \quad (4)$$

where the graph restriction  $\operatorname{gr}(A_\varepsilon)|_{Q_\varepsilon \times H_0^1}$  of the operator  $A_\varepsilon$  is defined as the set

$$\begin{aligned} \operatorname{gr}(A_\varepsilon)|_{Q_\varepsilon \times H_0^1} &= \operatorname{gr}(A_\varepsilon) \cap [Q_\varepsilon \times H_0^1(\Omega)], \\ \operatorname{gr}(A_\varepsilon) &= \{(g, y) \in H^{-1}(\Omega) \times H_0^1(\Omega) \mid g = A_\varepsilon y\}. \end{aligned}$$

It is easy to prove the following result.



LEMMA 2. For every  $\varepsilon \in (0, \varepsilon_0]$  there is a one-to-one correspondence between the sets  $\Xi_\varepsilon$  and  $\Lambda_\varepsilon$ .

Now it is easy to see that the problem of topological convergence of the sets of admissible pairs  $\{\Xi_\varepsilon\}$  in the  $\mu$ -topology can be reduced to the identification of topological limit in  $\tau = s_{H^{-1}} \times w_{H_0^1}$ -topology of the graph restriction sequence  $\left\{ \text{gr}(\mathbf{A}_\varepsilon) |_{Q_\varepsilon \times H_0^1} \right\}_{\varepsilon \in (0, \varepsilon_0]}$ . However, under our initial assumption (with respect to the non-regular constraints) it is not possible to recover the topological limit of this sequence in the  $\tau$ -topology, because by virtue of the properties in the Kuratowski's sense, we have the following inclusion

$$\tau\text{-Ls gr}(\mathbf{A}_\varepsilon) |_{Q_\varepsilon \times H_0^1} \subseteq \tau\text{-Ls gr}(\mathbf{A}_\varepsilon) \cap [(s_{H^{-1}}) - \text{Ls } Q_\varepsilon \times H_0^1(\Omega)] = \emptyset.$$

Consequently, we should choose more weaker topology on  $H^{-1}(\Omega) \times H_0^1(\Omega)$  than the  $\tau$ -topology. With this aim we will consider this problem with respect to  $\tau^*$ -topology, which is defined as the product of the weak topology for  $H^{-1}(\Omega)$  and the weak topology for  $H_0^1(\Omega)$ . To this we introduce the following hypotheses:

- (A1) there exist subsets  $L^\varepsilon \subset H^{-1}(\Omega)$  such that  $Q_\varepsilon \subseteq L^\varepsilon$  for all  $\varepsilon \in (0, \varepsilon_0]$ ;
- (A2) for every  $\varepsilon \in (0, \varepsilon_0]$  there is a real reflexive separable Banach space  $Y_\varepsilon$  with norm  $\|\cdot\|_\varepsilon$  and a continuous linear mapping  $P_\varepsilon$  of  $Y_\varepsilon$  into  $H_0^1(\Omega)$  such that:

$$\sup_{\varepsilon \in (0, \varepsilon_0]} \|P_\varepsilon\| = c_0 < \infty;$$

- (A3) for every  $\varepsilon \in (0, \varepsilon_0]$  there exists a linear mapping  $R_\varepsilon^+$  of  $Y_\varepsilon^*$  into  $L^\varepsilon \subset H^{-1}(\Omega)$  such that if  $g \in Y_\varepsilon^*$ , then  $P_\varepsilon^*(R_\varepsilon^+ g) = g$  for every  $\varepsilon \in (0, \varepsilon_0]$ ;
- (A4) for every strongly converging sequence  $\{q_\varepsilon\}$  in  $H^{-1}(\Omega)$  we have  $\{R_\varepsilon^+ P_\varepsilon^* q_\varepsilon\}$  is bounded.

Now we introduce the following concepts.

DEFINITION 2. We say that the collection of real reflexive separable Banach spaces  $\{Y_\varepsilon\}_{\varepsilon \in (0, \varepsilon_0]}$  is coordinated with the control object (3) if hypotheses (A1)–(A4) hold true and there is a sequence of convex closed subsets  $\{\widehat{Q}_\varepsilon \subseteq H^{-1}(\Omega)\}_{\varepsilon \in (0, \varepsilon_0]}$  such that  $R_\varepsilon^+ P_\varepsilon^* : \widehat{Q}_\varepsilon \rightarrow Q_\varepsilon$  for every  $\varepsilon \in (0, \varepsilon_0]$ , and  $s_{H^{-1}} - \text{Li } \widehat{Q}_\varepsilon \neq \emptyset$ , whereas  $s_{H^{-1}} - \text{Ls } Q_\varepsilon = \emptyset$ .

DEFINITION 3. For control object (3) with a coordinated collection of spaces  $\{Y_\varepsilon\}_{\varepsilon \in (0, \varepsilon_0]}$  the sets

$$\text{Gr}(\mathbf{A}_\varepsilon) = \{(f, y) \in H^{-1}(\Omega) \times H_0^1(\Omega) \mid \mathbf{A}_\varepsilon y = R_\varepsilon^+ P_\varepsilon^* f\}$$

are called the prototypes of the operator graphs  $\text{gr}(\mathbf{A}_\varepsilon)$ .

DEFINITION 4. Suppose  $\mathbf{A}_* \in L(H_0^1(\Omega); H^{-1}(\Omega))$  is a coercive operator. We say that the sequence of operators  $\{\mathbf{A}_\varepsilon \in L(H_0^1(\Omega); H^{-1}(\Omega))\}_{\varepsilon \in (0, \varepsilon_0]}$   $G^*$ -converges to the operator  $\mathbf{A}_*$  (in symbols,  $\mathbf{A}_\varepsilon \xrightarrow{G^*} \mathbf{A}_*$ ), if

$$\tau\text{-Lm Gr}(\mathbf{A}_\varepsilon) = \text{gr}(\mathbf{A}_*),$$



where  $\tau = s_{H^{-1}} \times w_{H_0^1}$ .

We note that definition of the  $G^*$ -limit of the operators  $\{A_\varepsilon\}$  is defined in the terms of the product of the strong topology for  $H^{-1}(\Omega)$  and the weak topology for  $H_0^1(\Omega)$ . Moreover, if we put  $Y_\varepsilon = H_0^1(\Omega)$ ,  $P_\varepsilon y = y$ ,  $R_\varepsilon^+ g = g$  for every  $y \in H_0^1(\Omega)$ ,  $g \in H^{-1}(\Omega)$ , and  $\varepsilon \in (0, \varepsilon_0]$ , then  $\widehat{Q}_\varepsilon = Q_\varepsilon$  and each of the graph prototypes  $\text{Gr}(A_\varepsilon)$  coincides with the corresponding graph  $\text{gr}(A_\varepsilon)$ . Therefore Definition 4 reduces to the well known definition of  $G$ -convergence. Now we give the following important results.

**PROPOSITION 1.** *Suppose that for the original control object there is a coordinated collection of Banach spaces  $\{Y_\varepsilon\}_{\varepsilon \in (0, \varepsilon_0]}$ . Let  $A_* \in L(H_0^1(\Omega); H^{-1}(\Omega))$  be a coercive operator,  $\{A_\varepsilon \in L(H_0^1(\Omega); H^{-1}(\Omega))\}_{\varepsilon \in (0, \varepsilon_0]}$  be a  $G^*$ -compact set of uniformly bounded and uniformly coercive operators. Then the sequence  $\{A_\varepsilon\}_{\varepsilon \in (0, \varepsilon_0]}$   $G^*$ -converges to  $A_*$  if and only if*

$$A_\varepsilon^{-1} R_\varepsilon^+ P_\varepsilon^* f \rightarrow A_*^{-1} f \text{ weakly in } H_0^1(\Omega)$$

for any  $f \in H^{-1}(\Omega)$ .

*Proof.* Assume that  $A_\varepsilon \xrightarrow{G^*} A_*$ . Then, by Definition of  $G^*$ -convergence, we have

$$A_\varepsilon^{-1} R_\varepsilon^+ P_\varepsilon^* f \rightarrow A_*^{-1} f \text{ weakly in } H_0^1(\Omega),$$

and the "only if" part of the statement is proved.

Let us prove the "if" part. Suppose that  $A_\varepsilon^{-1} R_\varepsilon^+ P_\varepsilon^* f \rightarrow A_*^{-1} f$  weakly in  $H_0^1(\Omega)$  for any  $f \in H^{-1}(\Omega)$ . By  $G^*$ -compactness of the set  $\{A_\varepsilon\}_{\varepsilon \in (0, \varepsilon_0]}$ , there exists an index set  $H \in \mathbf{H}^\sharp$  and a subsequence  $\{A_\varepsilon\}_{\varepsilon \in H}$  such that  $A_{\varepsilon \in H} \xrightarrow{G^*} \widehat{A}_*$ , where  $\widehat{A}_*$  is a linear bounded coercive operator from  $H_0^1(\Omega)$  into  $H^{-1}(\Omega)$ . Consequently for  $\widehat{A}_*$  there exists an invertible bounded operator  $\widehat{A}_*^{-1}$ . The definition of  $G^*$ -convergence implies that  $\widehat{A}_*^{-1} f = A_*^{-1} f$  for any  $f \in H^{-1}(\Omega)$ . Therefore  $\widehat{A}_*^{-1} = A_*^{-1}$ , and  $\widehat{A}_* = A_*$ . Thus  $A_\varepsilon \xrightarrow{G^*} A_*$ .

**THEOREM 1.** *Suppose that the following conditions hold true:*

- (i)  $\{A_\varepsilon \in L(H_0^1(\Omega), H^{-1}(\Omega))\}_{\varepsilon \in (0, \varepsilon_0]}$  is a sequence of uniformly coercive and uniformly bounded operators;
- (ii) the collection of Banach spaces  $\{Y_\varepsilon\}_{\varepsilon \in (0, \varepsilon_0]}$  is coordinated with the original control object (3) in the sense of Definition 2.

Then there exist an index set  $H \in \mathbf{H}^\sharp$ , a subsequence  $\{A_\varepsilon\}_{\varepsilon \in H}$ , and a coercive linear operator  $A_*$  of  $H_0^1(\Omega)$  into  $H^{-1}(\Omega)$  such that  $A_\varepsilon \xrightarrow{G^*} A_*$ , i.e.

$$\tau\text{-Lm Gr}(A_\varepsilon) = \text{gr}(A_*).$$

*Proof.* Since the space  $H_0^1(\Omega)$  is separable and reflexive, there exists a metric  $d$  such that for any sequence  $\{y_\varepsilon\}_{\varepsilon \in (0, \varepsilon_0]}$  the following conditions are equivalent:

- (1)  $y_\varepsilon \rightarrow y$  weakly in  $H_0^1(\Omega)$ ;
- (2)  $\{y_\varepsilon\}_{\varepsilon \in (0, \varepsilon_0]}$  is bounded and  $d(y_\varepsilon, y) \rightarrow 0$ .

We denote by  $\sigma$  the topology associated to the metric  $d$  on  $H_0^1(\Omega)$ . This topology has a countable base. Since the topology  $s_{H^{-1}} \times \sigma$  has a countable base, by Kuratowski compactness theorem, there exists a subsequence  $\{\text{Gr}(\mathbf{A}_\varepsilon)\}_{\varepsilon \in H}$ , where  $H \in \mathbf{H}^\sharp$ , such that the one converges to a set  $C \subset H^{-1}(\Omega) \times H_0^1(\Omega)$  in the  $s_{H^{-1}} \times \sigma$ -topology.

Now we prove that  $C = \tau\text{-Lm Gr}(\mathbf{A}_\varepsilon)$ . With this aim it is enough to show that

$$\tau\text{-Ls Gr}(\mathbf{A}_\varepsilon) \subseteq C, \quad (5)$$

$$C \subseteq \tau\text{-Li Gr}(\mathbf{A}_\varepsilon). \quad (6)$$

Firstly, let us verify (5). Suppose  $(f, y) \in \tau\text{-Ls Gr}(\mathbf{A}_\varepsilon)$ . Then there exist an index set  $H \in \mathbf{H}^\sharp$  and a sequence  $\left\{(\widehat{f}_\varepsilon, y_\varepsilon)\right\}_{\varepsilon \in H}$  converging to  $(f, y)$  in the topology  $\tau$  such that  $(\widehat{f}_\varepsilon, y_\varepsilon) \in \text{Gr}(\mathbf{A}_\varepsilon)$  for every  $\varepsilon \in H$ . Since (1) implies (2), we see that  $(\widehat{f}_\varepsilon, y_\varepsilon)$  converges to  $(f, y)$  with respect to the topology  $s_{H^{-1}} \times \sigma$ . Hence,  $(f, y) \in C$ .

Now we prove (6). Let  $(f, y) \in C$ . Then there exists a sequence  $\left\{(\widehat{f}_\varepsilon, y_\varepsilon)\right\}$  converging to  $(f, y)$  in the topology  $s_{H^{-1}} \times \sigma$  such that  $(\widehat{f}_\varepsilon, y_\varepsilon) \in \text{Gr}(\mathbf{A}_\varepsilon)$  for all  $\varepsilon$  small enough. Since  $\{\widehat{f}_\varepsilon\}$  is bounded in  $H^{-1}(\Omega)$  we deduce that the sequence  $y_\varepsilon = \mathbf{A}_\varepsilon^{-1} R_\varepsilon^+ P_\varepsilon^* \widehat{f}_\varepsilon$  is bounded in  $H_0^1(\Omega)$  as well (by Definition 2). Then the equivalence between conditions (1) and (2) yields weak convergence of  $\{y_\varepsilon\}$  to  $y$ . Hence,  $\left\{(\widehat{f}_\varepsilon, y_\varepsilon)\right\}_{\varepsilon \in (0, \varepsilon_0]}$  converges to  $(f, y)$  in the  $\tau$ -topology, which implies (6).

Finally, we prove that there exists an invertible linear bounded operator  $\mathbf{A}_* : H_0^1(\Omega) \rightarrow H^{-1}(\Omega)$  such that  $C = \text{gr}(\mathbf{A}_*)$ . Using Proposition 1, we see that there exists a linear operator  $C_* : H^{-1}(\Omega) \rightarrow H_0^1(\Omega)$  such that

$$\forall f \in H^{-1}(\Omega) \quad y_\varepsilon = \mathbf{A}_\varepsilon^{-1} R_\varepsilon^+ P_\varepsilon^* f \longrightarrow C_* f \text{ weakly in } H_0^1(\Omega).$$

Then by analogy with [9] (see Proposition 1.7) it can be proved that there is a constant  $\alpha > 0$  such that the inequalities

$$\|f - g\|_{H^{-1}}^2 \leq \alpha \|C_* f - C_* g\|_{H_0^1}^2, \quad (7)$$

$$\langle f - g, C_* f - C_* g \rangle \geq \alpha^{-1} \|C_* f - C_* g\|_{H_0^1}^2. \quad (8)$$

hold for every  $f, g \in H^{-1}(\Omega)$ .

Therefore from (7)–(8) we deduce that for any  $f \in H^{-1}(\Omega)$

$$\|f\|_{H^{-1}}^2 \leq \alpha \|C_* f\|_{H_0^1}^2, \quad \langle f, C_* f \rangle \geq \alpha^{-1} \|C_* f\|_{H_0^1}^2. \quad (9)$$

Consequently the operator  $C_*$  is invertible, i.e. we may set  $\mathbf{A}_* = C_*^{-1}$ . Moreover, we obtain for the operator  $\mathbf{A}_*$  the properties of boundedness and coerciveness taking arbitrary  $y \in H_0^1(\Omega)$  and substituting  $f = \mathbf{A}_* y$  into (9). The theorem is proved.



THEOREM 2. Suppose that the following conditions hold true:

- (i)  $\{\mathbf{A}_\varepsilon \in L(H_0^1(\Omega), H^{-1}(\Omega))\}_{\varepsilon \in (0, \varepsilon_0]}$  is a sequence of uniformly coercive and uniformly bounded operators;
- (ii) for the original control object (3) there exists a coordinated collection of Banach spaces  $\{Y_\varepsilon\}_{\varepsilon \in (0, \varepsilon_0]}$ ;
- (iii) there are an index set  $H \in \mathbf{H}$  and a  $\tau$ -converging sequence  $\left\{(\hat{f}_\varepsilon, y_\varepsilon) \in \hat{Q}_\varepsilon \times H_0^1(\Omega)\right\}_{\varepsilon \in H}$  such that

$$\mathbf{A}_\varepsilon y_\varepsilon = R_\varepsilon^+ P_\varepsilon^* \hat{f}_\varepsilon, \quad \text{for every } \varepsilon \in H.$$

Then there exist a set  $H \in \mathbf{H}^\#$ , and a coercive bounded linear operator  $\mathbf{A}_* \in L(H_0^1(\Omega), H^{-1}(\Omega))$  such that  $\mathbf{A}_\varepsilon \xrightarrow{G^*} \mathbf{A}_*$  and

$$\tau\text{-Lm} \left[ \text{Gr}(\mathbf{A}_\varepsilon) \Big|_{\hat{Q}_\varepsilon \times H_0^1(\Omega)} \right] = \text{gr}(\mathbf{A}_*) \Big|_{(s_{H^{-1}}) \text{-Lm} [\hat{Q}_\varepsilon] \times H_0^1(\Omega)}. \quad (10)$$

To prove this theorem we first make use the following result (see [10]).

LEMMA 3. Let  $X, Y$  be Banach spaces,  $\eta$  be the product topology for  $X \times Y$ . Let  $\{W_\varepsilon\}$  and  $\{R_\varepsilon\}$  be some sequences of  $\eta$ -closed convex subsets of  $X \times Y$  for which the following conditions hold:

- (a)  $\Pi_Y W_\varepsilon = Y$  for every  $\varepsilon \in (0, \varepsilon_0]$ , where by  $\Pi_Y : X \times Y \rightarrow Y$  denote the projection operator;
- (b) the sets  $R_\varepsilon$  have representation  $R_\varepsilon = X \times C_\varepsilon$  for every  $\varepsilon \in (0, \varepsilon_0]$ ;
- (c) there exist topological limits  $\eta\text{-Lm} W_\varepsilon$  and  $\eta\text{-Lm} R_\varepsilon$ ;
- (d)  $\eta\text{-Li}(W_\varepsilon \cap R_\varepsilon) \neq \emptyset$ .

Then for the sequence of subsets  $\{W_\varepsilon \cap R_\varepsilon\}_{\varepsilon \in (0, \varepsilon_0]}$  there exists a topological limit in the  $\eta$ -topology such that

$$\eta\text{-Lm}(W_\varepsilon \cap R_\varepsilon) = \eta\text{-Lm} W_\varepsilon \cap \eta\text{-Lm} R_\varepsilon.$$

*Proof.* In accordance with Lemma 3 we need verify conditions (a)–(d) for the sets  $W_\varepsilon = \text{Gr}(\mathbf{A}_\varepsilon)$  and  $R_\varepsilon = \hat{Q}_\varepsilon \times H_0^1(\Omega)$ , where  $\hat{Q}_\varepsilon$  are defined in Definition 2. Conditions (a)–(b) follow immediately from initial assumptions. Since the sequence of operators  $\{\mathbf{A}_\varepsilon\}_{\varepsilon \in (0, \varepsilon_0]}$  is compact with respect to  $G^*$ -convergence and the strong topology for  $H^{-1}(\Omega)$  has a countable base, by the Kuratowski compactness theorem [11] there exist an index subset  $H \in \mathbf{H}^\#$ , a set  $\emptyset \neq Q \subseteq H^{-1}(\Omega)$ , and a coercive bounded operator  $\mathbf{A}_* \in L(H_0^1(\Omega), H^{-1}(\Omega))$  such that

$$\begin{aligned} \tau\text{-Lm} \text{Gr}(\mathbf{A}_\varepsilon) &= \text{gr}(\mathbf{A}_*), \varepsilon \in H; \\ \tau\text{-Lm} \left[ \hat{Q}_\varepsilon \times H_0^1(\Omega) \right] &= \left[ (s_{H^{-1}}) \text{-Lm} \hat{Q}_\varepsilon \times H_0^1(\Omega) \right]. \end{aligned}$$

Therefore condition (c) of Lemma 3 holds. Finally, condition (d) follows immediately from supposition (iii). Hence, by Lemma 3 we have

$$\begin{aligned} \tau\text{-Lm} \left[ \text{Gr}(\mathbf{A}_\varepsilon) \Big|_{\hat{Q}_\varepsilon \times H_0^1(\Omega)} \right] &= \tau\text{-Lm} \left( \text{Gr}(\mathbf{A}_\varepsilon) \cap \left[ \hat{Q}_\varepsilon \times H_0^1(\Omega) \right] \right) \\ &= \tau\text{-Lm} \left[ \text{Gr}(\mathbf{A}_\varepsilon) \right] \cap \left[ (s_{H^{-1}}) \text{-Lm} \hat{Q}_\varepsilon \times H_0^1(\Omega) \right]. \end{aligned}$$

This implies immediately (10).

Now, turning to the original homogenization problem, we introduce the following assumption (in addition to suppositions (1)–(5)):

- (6) there exist linear mappings  $J_\varepsilon : L^2(\Omega) \rightarrow L^2(\Omega)$  and a family of closed subsets  $\{\widehat{U}_\varepsilon\}_{\varepsilon \in (0, \varepsilon_0]} \subseteq L^2(\Omega)$  such that

$$U_\varepsilon = \left\{ u \in L^2(\Omega) \mid u = J_\varepsilon v, v \in \widehat{U}_\varepsilon \right\} \text{ for every } \varepsilon \in (0, \varepsilon_0];$$

- (7) there exists an invertible linear operator  $J_0 : L^2(\Omega) \rightarrow L^2(\Omega)$  such that  $J_\varepsilon \rightarrow J_0$  in the weak operator topology, i.e.  $\langle u, J_\varepsilon v \rangle_{L^2} \rightarrow \langle u, J_0 v \rangle_{L^2}$  for every  $u, v \in L^2(\Omega)$ , and the following inclusion holds  $(w_{L^2}) - \text{Ls } \widehat{U}_\varepsilon \subseteq J_0^{-1} [(w_{L^2}) - \text{Lm } U_\varepsilon]$ , where by  $(w_{L^2}) - \text{Ls } \widehat{U}_\varepsilon$  is denoted the upper topological limit of the sequence  $\{\widehat{U}_\varepsilon\}$ ;

- (8) for every control sequence  $\{u_\varepsilon \in U_\varepsilon\}_{\varepsilon \in (0, \varepsilon_0]}$  weakly converging in  $L^2(\Omega)$  there can be found a sequence of prototypes  $\{v_\varepsilon \in \widehat{U}_\varepsilon\}_{\varepsilon \in (0, \varepsilon_0]}$  satisfying the conditions:  $u_\varepsilon = J_\varepsilon v_\varepsilon$  for every  $\varepsilon \in (0, \varepsilon_0]$  and  $u_\varepsilon \rightarrow u = J_0 v$  weakly in  $L^2(\Omega)$ , where  $v \in L^2(\Omega)$  is the weak limit of  $\{v_\varepsilon \in \widehat{U}_\varepsilon\}_{\varepsilon \in (0, \varepsilon_0]}$ ;

- (9) for control object (3) hypotheses (A1)–(A4) hold true;

- (10) for every  $\varepsilon \in (0, \varepsilon_0]$  there exist a linear continuous operator  $\widehat{\mathbf{B}}_\varepsilon$  from  $L^2(\Omega)$  into  $H^{-1}(\Omega)$  and an element  $\widehat{f}_\varepsilon \in H^{-1}(\Omega)$  such that:

$$R_\varepsilon^+ P_\varepsilon^* (\widehat{\mathbf{B}}_\varepsilon v + \widehat{f}_\varepsilon) = b_\varepsilon J_\varepsilon v + f_\varepsilon \text{ for every } v \in \widehat{U}_\varepsilon;$$

$$\widehat{f}_\varepsilon \rightarrow \widehat{f}_0 \text{ strongly in } H^{-1}(\Omega);$$

$$\widehat{\mathbf{B}}_\varepsilon \rightarrow \widehat{\mathbf{B}}_0 \in L(L^2(\Omega); H^{-1}(\Omega)) \text{ in the uniform operator topology,}$$

$$\text{i.e. } \lim_{\varepsilon \rightarrow 0} \|\widehat{\mathbf{B}}_\varepsilon - \widehat{\mathbf{B}}_0\|_{L(L^2(\Omega); H^{-1}(\Omega))} = 0.$$

We begin with the following result.

LEMMA 4. If assumptions (1)–(10) hold true, then

$$\emptyset \neq (s_{H^{-1}}) - \text{Lm } \widehat{Q}_\varepsilon = \left\{ g \in H^{-1}(\Omega) \mid g = \widehat{\mathbf{B}}_0 J_0^{-1} u + \widehat{f}_0 \forall u \in (w_{L^2}) - \text{Lm } U_\varepsilon \right\}, \quad (11)$$

where  $\widehat{f}_0$  is a limit of  $\{\widehat{f}_\varepsilon\}$  in the strong topology of  $H^{-1}(\Omega)$  and  $\widehat{Q}_\varepsilon$  are the convex closed subsets which are defined by the rule

$$\widehat{Q}_\varepsilon = \left\{ g \in H^{-1}(\Omega) \mid g = \widehat{\mathbf{B}}_\varepsilon v + \widehat{f}_\varepsilon \forall v \in \widehat{U}_\varepsilon \right\}. \quad (12)$$



*Proof.* Let  $g^* = \widehat{\mathbf{B}}_0 J_0^{-1} u^* + \widehat{f}_0$  be any element of the set

$$\left\{ g \in H^{-1}(\Omega) \mid g = \widehat{\mathbf{B}}_0 J_0^{-1} u + \widehat{f}_0 \quad \forall u \in (w_{L^2})\text{-Lm } U_\varepsilon \right\}.$$

Then since  $u^* \in (w_{L^2})\text{-Lm } U_\varepsilon$ , it follows that there exist an index set  $H \in \mathbf{H}$ , a sequence  $\{u_\varepsilon^*\}_{\varepsilon \in H}$  converging to  $u^*$  in the weak topology of  $L^2(\Omega)$ , and a sequence of prototypes  $\{v_\varepsilon^*\}_{\varepsilon \in H}$  weakly converging to  $v^*$  in  $L^2(\Omega)$  such that

$$u_\varepsilon^* = J_\varepsilon v_\varepsilon^* \in U_\varepsilon, \quad v_\varepsilon^* \in \widehat{U}_\varepsilon \quad \forall \varepsilon \in H \quad \text{and} \quad u^* = J_0 v^*.$$

Therefore, by property (10),  $\widehat{\mathbf{B}}_\varepsilon v_\varepsilon^* + \widehat{f}_\varepsilon \in \widehat{Q}_\varepsilon$  for every  $\varepsilon \in H$ . At the same time we have

$$\begin{aligned} \|\widehat{\mathbf{B}}_\varepsilon v_\varepsilon^* - \widehat{\mathbf{B}}_0 v^*\| &\leq \|(\widehat{\mathbf{B}}_\varepsilon - \widehat{\mathbf{B}}_0) v_\varepsilon^*\| + \|\widehat{\mathbf{B}}_0 (v_\varepsilon^* - v^*)\| \\ &\leq \|\widehat{\mathbf{B}}_\varepsilon - \widehat{\mathbf{B}}_0\| \cdot \|v_\varepsilon^*\| + \sup_{\|\phi\|_{H_0^1}=1} \langle \widehat{\mathbf{B}}_0^* \phi, v_\varepsilon^* - v^* \rangle. \end{aligned}$$

Hence

$$\widehat{\mathbf{B}}_\varepsilon v_\varepsilon^* + \widehat{f}_\varepsilon \longrightarrow \widehat{\mathbf{B}}_0 v^* + \widehat{f}_0 = \widehat{\mathbf{B}}_0 J_0^{-1} u^* + \widehat{f}_0 \quad \text{strongly in } H^{-1}(\Omega).$$

On the other hand, if  $H$  be any index set of  $\mathbf{H}^\sharp$  and  $\{g_\varepsilon \in \widehat{Q}_\varepsilon\}_{\varepsilon \in H}$  is a sequence converging to  $g$  in the strong topology of  $H^{-1}(\Omega)$ , then there is a sequence of control prototypes  $\{v_\varepsilon \in \widehat{U}_\varepsilon\}_{\varepsilon \in H}$  such that  $g_\varepsilon = \widehat{\mathbf{B}}_\varepsilon v_\varepsilon + \widehat{f}_\varepsilon$  for every  $\varepsilon \in H$ . Since the sequence  $\widehat{\mathbf{B}}_\varepsilon v_\varepsilon$  is bounded in  $H^{-1}(\Omega)$  and the operators  $\widehat{\mathbf{B}}_\varepsilon$  are compact with respect to the uniform operator topology, it follows the the sequence  $\{v_\varepsilon\}_{\varepsilon \in H}$  is bounded as well. Hence we may assume that there is an element  $v_0 \in (w_{L^2})\text{-Ls } \widehat{U}_\varepsilon$  such that  $v_\varepsilon \longrightarrow v_0$  weakly in  $L^2(\Omega)$ . Consequently,

$$\begin{aligned} g_\varepsilon &= \widehat{\mathbf{B}}_\varepsilon v_\varepsilon + \widehat{f}_\varepsilon \in \widehat{Q}_\varepsilon \quad \text{for every } \varepsilon \in H; \\ g_\varepsilon &\longrightarrow \widehat{\mathbf{B}}_0 v_0 + \widehat{f}_0 = g_0 \quad \text{strongly in } H^{-1}(\Omega). \end{aligned}$$

But by property (7) there can be found an element  $u_0$  in  $(w_{L^2})\text{-Lm } U_\varepsilon$  satisfying  $v_0 = J_0^{-1} u_0$ . Therefore  $g_0 = \widehat{\mathbf{B}}_0 J_0^{-1} u_0 + \widehat{f}_0$ . Thus, by Lemma 1, we obtain the required.

Now we are in a position to state the main result of our paper.

**THEOREM 3.** *Suppose that conditions (1)–(10) hold true and there is an index set  $H \in \mathbf{H}$  and some  $\mu$ -converging sequence of admissible pairs  $\{(u_\varepsilon, y_\varepsilon) \in \Xi_\varepsilon\}_{\varepsilon \in H}$  for original control problem (1)–(2). Then for the sequence of sets of admissible pairs  $\{\Xi_\varepsilon\}_{\varepsilon \in (0, \varepsilon_0]}$  there exists a topological limit in the  $\mu$ -topology and one has the following representation*

$$\mu\text{-Lm } \Xi_\varepsilon = \mathbb{X}, \tag{13}$$



where

$$\mathbb{X} = \left\{ (u, y) \in L^2(\Omega) \times H_0^1(\Omega) \mid \begin{array}{l} \mathbf{A}_* y = \widehat{\mathbf{B}}_0 J_0^{-1} u + \widehat{f}_0, \\ u \in (w_{L^2}) - \text{Lm } U_\varepsilon. \end{array} \right\},$$

where  $\mathbf{A}_* \in L(H_0^1(\Omega); H^{-1}(\Omega))$  is the  $G^*$ -limit of the sequence of operators  $\{\mathbf{A}_\varepsilon\}$  in the sense of Definition 4.

*Proof.* First of all we note that by initial assumptions there is some sequence of admissible pair  $\{(u_\varepsilon, y_\varepsilon) \in \Xi_\varepsilon\}_{\varepsilon \in H}$  such that  $(u_\varepsilon, y_\varepsilon) \xrightarrow{\mu} (u^0, y^0)$ . However, by property (8) there can be found a sequence of control prototypes  $\{v_\varepsilon \in \widehat{U}_\varepsilon\}_{\varepsilon \in (0, \varepsilon_0]}$  satisfying the conditions:  $u_\varepsilon = J_\varepsilon v_\varepsilon$  for every  $\varepsilon \in (0, \varepsilon_0]$  and  $u_\varepsilon \rightarrow u^0 = J_0 v^0$  weakly in  $L^2(\Omega)$ , where  $v^0 \in L^2(\Omega)$  is the weak limit of  $\{v_\varepsilon \in \widehat{U}_\varepsilon\}_{\varepsilon \in (0, \varepsilon_0]}$ . Therefore in view of condition (10) instead of the original sequence of admissible pairs we may consider the sequence of their prototypes  $\{(v_\varepsilon, y_\varepsilon) \in \widehat{\Xi}_\varepsilon\}_{\varepsilon \in H}$ , where the sets  $\widehat{\Xi}_\varepsilon$  are defined by the rule

$$\widehat{\Xi}_\varepsilon = \left\{ (v, y) \in L^2(\Omega) \times H_0^1(\Omega) \mid \begin{array}{l} \mathbf{A}_\varepsilon y = R_\varepsilon^+ P_\varepsilon^* (\widehat{\mathbf{B}}_\varepsilon v + \widehat{f}_\varepsilon), \\ v \in \widehat{U}_\varepsilon. \end{array} \right\}$$

Consequently, by Lemma 4 and condition (8), we have

$$\widehat{Q}_\varepsilon \ni \widehat{\mathbf{B}}_\varepsilon v_\varepsilon + \widehat{f}_\varepsilon \rightarrow \widehat{\mathbf{B}}_0 J_0^{-1} u^0 + \widehat{f}_0 \in (s_{H^{-1}}) - \text{Lm } \widehat{Q}_\varepsilon \quad \text{strongly in } H^{-1}(\Omega),$$

i.e. all suppositions on Theorem 2 hold true. Therefore for the topological limit of prototype graph restrictions  $\left[ \text{Gr}(\mathbf{A}_\varepsilon) \mid \widehat{Q}_\varepsilon \times H_0^1(\Omega) \right]$  representation (10) holds.

Let  $(\widehat{u}^*, \widehat{y}^*)$  be any pair of  $\mathbb{X}$ . Then, by Lemma 4, we have

$$\widehat{g}^* = \widehat{\mathbf{B}}_0 J_0^{-1} \widehat{u}^* + \widehat{f}_0 \in (s_{H^{-1}}) - \text{Lm } \widehat{Q}_\varepsilon,$$

where the sets  $\widehat{Q}_\varepsilon$  are defined in (12). Using Theorem 2 we deduce that

$$(\widehat{g}^*, \widehat{y}^*) \in \text{gr}(\mathbf{A}_*) \cap \left[ (s_{H^{-1}}) - \text{Lm } \widehat{Q}_\varepsilon \times H_0^1(\Omega) \right].$$

Here  $\mathbf{A}_*$  is the  $G^*$ -limit of the operators sequence  $\{\mathbf{A}_\varepsilon\}_{\varepsilon \in (0, \varepsilon_0]}$ . Then in accordance with Theorem 2 we obtain

$$\begin{aligned} (\widehat{g}^*, \widehat{y}^*) &\in \tau\text{-Lm Gr}(\mathbf{A}_\varepsilon) \cap \left[ (s_{H^{-1}}) - \text{Lm } \widehat{Q}_\varepsilon \times H_0^1(\Omega) \right] \\ &= \tau\text{-Lm} \left[ \text{Gr}(\mathbf{A}_\varepsilon) \mid \widehat{Q}_\varepsilon \times H_0^1(\Omega) \right]. \end{aligned}$$

Therefore, by properties of topological limits (see Lemma 1), there exist an index set  $H \in \mathbf{H}$ , and sequences  $\{\widehat{y}_\varepsilon\}_{\varepsilon \in H}$ ,  $\{\widehat{u}_\varepsilon\}_{\varepsilon \in H}$ , and  $\{\widehat{v}_\varepsilon\}_{\varepsilon \in H}$  such that

$$\begin{array}{llll} \widehat{y}_\varepsilon \rightarrow \widehat{y}^* & & \text{weakly in} & H_0^1(\Omega), \\ \widehat{U}_\varepsilon \ni \widehat{v}_\varepsilon \rightarrow \widehat{v}^* & & \text{weakly in} & L^2(\Omega), \\ U_\varepsilon \ni J_\varepsilon \widehat{v}_\varepsilon = \widehat{u}_\varepsilon \rightarrow \widehat{u}^* = J_0 \widehat{v}^* & & \text{weakly in} & L^2(\Omega), \\ \widehat{Q}_\varepsilon \ni \widehat{g}_\varepsilon = \widehat{\mathbf{B}}_\varepsilon \widehat{v}_\varepsilon + \widehat{f}_\varepsilon \rightarrow \widehat{\mathbf{B}}_0 J_0^{-1} \widehat{u}^* + \widehat{f}_0 = \widehat{g}^* & & \text{strongly in} & H^{-1}(\Omega), \\ \mathbf{A}_\varepsilon \widehat{y}_\varepsilon = R_\varepsilon^+ P_\varepsilon^* \widehat{g}_\varepsilon = b_\varepsilon \widehat{u}_\varepsilon + f_\varepsilon & & \text{for every} & \varepsilon \in H. \end{array}$$

Thus for the pair  $(\hat{u}^*, \hat{y}^*)$  we have found the index set  $H \in \mathbf{H}$  and constructed the sequence  $\{(\hat{u}_\varepsilon, \hat{y}_\varepsilon)\}_{\varepsilon \in H}$  such that

$$(\hat{u}_\varepsilon, \hat{y}_\varepsilon) \xrightarrow{\mu} (\hat{u}^*, \hat{y}^*) \text{ and } (\hat{u}_\varepsilon, \hat{y}_\varepsilon) \in \Xi_\varepsilon \text{ for every } \varepsilon \in H,$$

i.e. condition (i) of Lemma 1 holds.

Now we consider any index set  $H$  of  $\mathbf{H}^\#$ . Let  $\{(\hat{u}_\varepsilon, \hat{y}_\varepsilon)\}_{\varepsilon \in H}$  be a sequence  $\mu$ -converging to some pair  $(u, y)$  such that  $(\hat{u}_\varepsilon, \hat{y}_\varepsilon) \in \Xi_\varepsilon$  for every  $\varepsilon \in H$ . We have to show that  $(u, y) \in \mathbb{X}$ . Indeed, in this case there can be found a sequence of prototypes  $\{\hat{v}_\varepsilon\}_{\varepsilon \in H}$  weakly converging to  $v$  in  $L^2(\Omega)$  such that

$$\hat{u}_\varepsilon = J_\varepsilon \hat{v}_\varepsilon \in U_\varepsilon, \quad \hat{v}_\varepsilon \in \hat{U}_\varepsilon \quad \forall \varepsilon \in H \quad \text{and} \quad u = J_0 v.$$

Consequently,

$$\begin{aligned} \hat{g}_\varepsilon = \hat{\mathbf{B}}_\varepsilon \hat{v}_\varepsilon + \hat{f}_\varepsilon &\longrightarrow \hat{\mathbf{B}}_0 J_0^{-1} u + \hat{f}_0 = \hat{g}_0 && \text{strongly in } H^{-1}(\Omega), \\ \hat{y}_\varepsilon = \mathbf{A}_\varepsilon^{-1} R_\varepsilon^+ P_\varepsilon^* \hat{g}_\varepsilon &\longrightarrow y && \text{weakly in } H_0^1(\Omega), \end{aligned}$$

and by virtue of Theorem 2 we have

$$(\hat{g}_0, y) \in \text{gr}(\mathbf{A}_*) \Big|_{(s_{H^{-1}})^{-\text{Lm}} \hat{Q}_\varepsilon \times H_0^1(\Omega)}.$$

Therefore  $y = \mathbf{A}_*^{-1} \hat{g}_0 = \mathbf{A}_*^{-1} (\hat{\mathbf{B}}_0 J_0^{-1} u + \hat{f}_0)$ , i.e. we have the following inclusion

$$(u, y) \in \mathbb{X}.$$

Thus, using Lemma 1, we deduce that the set  $\mathbb{X}$  is the topological limit of the sequence of sets of admissible pairs  $\{\Xi_\varepsilon\}_{\varepsilon \in (0, \varepsilon_0]}$ . The proof is complete.

We have proved that under initial assumptions (1)–(10) there exists the homogenized control object for (1)–(2) and this one can be presented in the following form:

$$\begin{aligned} \mathbf{A}_* y &= \hat{\mathbf{B}}_0 J_0^{-1} u + \hat{f}_0 \quad \text{in } D'(\Omega), \\ u &\in (w_{L^2})^{-\text{Lm}} U_\varepsilon. \end{aligned}$$

In conclusion we give the example which shows that in the general case the  $G^*$ -limit  $\mathbf{A}_*$  of the operators  $\{\mathbf{A}_\varepsilon\}$  may not coincide with  $G$ -limit  $\mathbf{A}_0$  of such a sequence.

Let  $\Omega$  be an open bounded domain of  $R^n$ , and let  $\{\Omega_\varepsilon\}_{\varepsilon \in (0, \varepsilon_0]}$  be a sequence of open domains of  $R^n$  which are contained in  $\Omega$ . Let  $\{\mathbf{A}_\varepsilon\}_{\varepsilon \in (0, \varepsilon_0]}$  be a sequence of linear uniformly coercive and uniformly bounded operators from  $H_0^1(\Omega)$  into  $H^{-1}(\Omega)$ . For every  $\varepsilon \in (0, \varepsilon_0]$  we put

- (i)  $L^\varepsilon$  be the closure in  $H^{-1}(\Omega)$  of the set of all functions  $f \in C^\infty(\Omega)$  with  $\text{supp } f$  contained in  $\Omega_\varepsilon$ ;
- (ii)  $Y_\varepsilon = H_0^1(\Omega_\varepsilon)$ ;
- (iii)  $P_\varepsilon : H_0^1(\Omega_\varepsilon) \rightarrow H_0^1(\Omega)$  be the extension operator defined for every  $y \in H_0^1(\Omega_\varepsilon)$  by  $(P_\varepsilon y)|_{\Omega_\varepsilon} = y$ ,  $(P_\varepsilon y)|_{\Omega \setminus \Omega_\varepsilon} = 0$ . Since  $P_\varepsilon$  is linear continuous operator, the conjugate operator  $P_\varepsilon^* : H^{-1}(\Omega) \rightarrow H^{-1}(\Omega_\varepsilon)$  is defined;
- (iv)  $R_\varepsilon^+ : H^{-1}(\Omega_\varepsilon) \rightarrow (L^\varepsilon \subset H^{-1}(\Omega))$  be the extension operator defined for every  $f \in H^{-1}(\Omega_\varepsilon)$  by  $(R_\varepsilon^+ f)|_{\Omega_\varepsilon} = f$ ,  $(R_\varepsilon^+ y)|_{\Omega \setminus \Omega_\varepsilon} = 0$ .



Assume that Kovalevsky's hypothesis holds: each of operators  $\{\mathbf{A}_\varepsilon\}_{\varepsilon \in (0, \varepsilon_0]}$  has the following representation

$$\mathbf{A}_\varepsilon^{-1} = P_\varepsilon \Lambda_\varepsilon^{-1} P_\varepsilon^*,$$

where  $\Lambda_\varepsilon \in L(Y_\varepsilon; Y_\varepsilon^*)$  are some invertible operators and if  $y \in C_0^\infty(\Omega)$  then there exist a constant  $\nu > 0$  and a sequence  $\{y_\varepsilon \in K_\varepsilon\}_{\varepsilon \in (0, \varepsilon_0]}$  such that  $y_\varepsilon \rightarrow y$  weakly in  $H_0^1(\Omega)$  and such that, for every closed cube  $S \subset \Omega$ ,

$$\limsup_{\varepsilon \rightarrow 0} \int_S |\nabla y_\varepsilon|^2 dx \leq \nu \int_S (|\nabla y|^2 + y^2) dx,$$

where by  $K_\varepsilon$  we denote the closure in  $H_0^1(\Omega)$  of the set of all functions  $y \in C^\infty(\Omega)$  with support contained in  $\Omega_\varepsilon$ .

Then  $\mathbf{A}_\varepsilon \xrightarrow{G^*} \mathbf{A}_*$  if and only if

$$\mathbf{A}_\varepsilon^{-1} R_\varepsilon^+ P_\varepsilon^* f = [P_\varepsilon \Lambda_\varepsilon^{-1} P_\varepsilon^*] R_\varepsilon^+ P_\varepsilon^* f \equiv P_\varepsilon \Lambda_\varepsilon^{-1} P_\varepsilon^* f \rightarrow \mathbf{A}_*^{-1} f \text{ weakly in } H_0^1(\Omega)$$

for every  $f \in H^{-1}(\Omega)$ .

Therefore in view of Kovalevsky's theorem (see [11]) we deduce that for the  $G^*$ -limit operator  $\mathbf{A}_*$  the following representation holds:

$$\mathbf{A}_* = \mathbf{A}_0 + F_\mu,$$

where  $\mathbf{A}_0$  is the  $G$ -limit of  $\{\mathbf{A}_\varepsilon\}_{\varepsilon \in (0, \varepsilon_0]}$  in the usual sense, and the operator  $F_\mu : H_0^1(\Omega) \rightarrow H^{-1}(\Omega)$  is defined by

$$\langle F_\mu y, z \rangle = \int_\Omega \mu(x) y z dx.$$

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